



Axar Digital Services Pvt Ltd

# Assurance and Risk Mitigation for Compliance Officers and Insiders

Worried about complying with SEBI's new regulations on insider trading? You have good reason to be!

Here's why

*"With effect from April 01, 2019, the board of directors shall ensure that a structured digital database is maintained containing the names of such persons or entities as the case may be with whom information is shared under this regulation along with the Permanent Account Number or any other identifier authorized by law where the Permanent Account Number is not available.*

*Such databases shall be maintained with adequate internal controls and checks such as time stamping and audit trails to ensure non-tampering of the database."...*

**Regulation 3(5) of SEBI (Prohibition of Insider Trading) Regulations, 2015, with Amendment Regulations announced in 2018.**

**It's time to act!**

# Axar Digital has launched a great digital database solution - InsiderLens

## How InsiderLens helps mitigate risk of regulatory action

### KEY FEATURES

- Timely alerts and reminders to prevent Insiders from trading in violation of the Regulations
- Recording of declarations by Designated Persons.
- BenPos Deviation Report
- Maintaining track of trade requests and trade intimations
- Tracking of Initial and Annual disclosures
- Availability of multiple relevant Reports
- Dashboards for executive overviews

### BENEFITS

- Higher control of management over PIT Regulations compliances
- Protects company stakeholders and Compliance Officers from Regulatory Action
- Provides ready access to the Company's Insider Trading Policies and Processes
- Comprehensive reporting system
- Automatically generates deviation reports & follow-up action prompts

### SECURITY

- UPSI Data fully encrypted
- Stringent privacy controls to ensure safety of sensitive company data
- Role-based access
- Logout based on idle session detection
- Many more state-of-the-art web-application security features

### HOSTING OPTIONS

- **On premise:** Hosted on your in-house server, allowing you full control of your data.
- **On your Cloud Server:** Should you want to host InsiderLens on your Cloud Server, we will be glad to install the solution there.

## The two most important aspects of InsiderLens for Compliance Officers

1. Axar is on the alert for amendments in SEBI's Prevention of Insider Trading Regulations to incorporate into InsiderLens as necessary.

2. InsiderLens has been audited by an independent firm of Company Secretaries to confirm its compliance with SEBI's Prohibition of Insider Trading Regulations. Axar will submit the solution for Audit on a quarterly basis.

**Have you obtained your digital database solution yet?**

**Talk to us to schedule a demo today!**



narendra.katakkar@axardigital.com +91 91727 96451  
lalit.lochan@axardigital.com +91 98108 60253  
info@axardigital.com +91 204014 9980

v.sambasivan@axardigital.com +91 2040149964  
swati.deshmukh@axardigital.com +91 2040149976  
bharat.sharma@axardigital.com +91 8552877115